

S&H Form: PTO/SB/17 (2/01)

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| SUPPLEMENTAL RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) | Attorney Docket No. | 1267.1024 | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Application Number | 09/914,575 | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Filing Date | August 31, 2001 | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | First Named Applicant | FUJITSU LIMITED | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Group Art Unit | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Title: | OPTICAL NETWORKS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Pursuant to 37 C.F.R. § 1.495 and in response to the U.S. Patent and Trademark Office Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office (DO/EO/US) mailed <u>October 2, 2001</u> for the above-identified application, enclosed are the following:</p> <p><input checked="" type="checkbox"/> RETURN COPY of Notice of Acceptance Under 35 U.S.C. 371 and 37 CFR § 1.494 or 1.495 in the United States Designated/Elected Office (DO/EO/US), indicating additional fees due</p> <p><input type="checkbox"/> Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.</p> <p><input type="checkbox"/> Formal Drawings (___ Sheets; Figs ____)</p> <p><input type="checkbox"/> English-language translation of application (with Translator's Statement (pages ____))</p> <p><input type="checkbox"/> Verified Statement Claiming Small Entity Status</p> <p>Enclosed is a payment of the following:</p> <table> <tr> <td><input type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)</td> <td>\$</td> </tr> <tr> <td><input checked="" type="checkbox"/> The additional claim(s) fee (claims over 20)</td> <td>\$90.00</td> </tr> <tr> <td><input type="checkbox"/> The additional independent claim(s) fee (claims over 3)</td> <td>\$</td> </tr> <tr> <td><input checked="" type="checkbox"/> Multiple dependent claims</td> <td>\$280.00</td> </tr> <tr> <td><input checked="" type="checkbox"/> Petition for Extension of Time (<u>two -month</u>)</td> <td>\$400.00</td> </tr> <tr> <td><input type="checkbox"/> English language translation fee</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)</td> <td>\$</td> </tr> <tr> <td>SUBTOTAL FEES:</td> <td>\$770.00</td> </tr> <tr> <td><input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)</td> <td>.00</td> </tr> <tr> <td>SUBTOTAL FEES:</td> <td>\$770.00</td> </tr> </table> <p>01/23/2002 HNGUYEN 00000093 09214575</p> <table> <tr> <td>01 FC:366</td> <td>90.00 0P</td> <td rowspan="3">TOTAL FEES DUE:</td> <td rowspan="3">\$770.00</td> </tr> <tr> <td>02 FC:368</td> <td>280.00 0P</td> </tr> <tr> <td>03 FC:116</td> <td>400.00 0P</td> </tr> </table> | | | <input type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a) | \$ | <input checked="" type="checkbox"/> The additional claim(s) fee (claims over 20) | \$90.00 | <input type="checkbox"/> The additional independent claim(s) fee (claims over 3) | \$ | <input checked="" type="checkbox"/> Multiple dependent claims | \$280.00 | <input checked="" type="checkbox"/> Petition for Extension of Time (<u>two -month</u>) | \$400.00 | <input type="checkbox"/> English language translation fee | \$ | <input type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e) | \$ | SUBTOTAL FEES: | \$770.00 | <input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27) | .00 | SUBTOTAL FEES: | \$770.00 | 01 FC:366 | 90.00 0P | TOTAL FEES DUE: | \$770.00 | 02 FC:368 | 280.00 0P | 03 FC:116 | 400.00 0P |
| <input type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a) | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> The additional claim(s) fee (claims over 20) | \$90.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> The additional independent claim(s) fee (claims over 3) | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> Multiple dependent claims | \$280.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> Petition for Extension of Time (<u>two -month</u>) | \$400.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> English language translation fee | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e) | \$ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL FEES: | \$770.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27) | .00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL FEES: | \$770.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 01 FC:366 | 90.00 0P | TOTAL FEES DUE: | \$770.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 02 FC:368 | 280.00 0P | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 03 FC:116 | 400.00 0P | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

Since the Notification Of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US) set an original due date of December 2, 2001, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)):

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.


AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

SUBMITTED BY: STAAS & HALSEY LLP

| | | | |
|------------|--|----------|---------|
| Typed Name | Paul I. Kravetz | Reg. No. | 35,230 |
| Signature |  | Date | 1/24/02 |



UNITED STATES PATENT AND TRADEMARK OFFICE

Filing Fees Due 1/13/02
 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

| | | |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 09/914,575 | Peter Raymond Ball | 1267.1024 |

21171
 STAAS & HALSEY LLP
 700 11TH STREET, NW
 SUITE 500
 WASHINGTON, DC 20001

| | |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/GB00/00757 | |
| I.A. FILING DATE | PRIORITY DATE |
| 03/03/2000 | 03/03/1999 |

CONFIRMATION NO. 9631
 371 ACCEPTANCE LETTER



OC000000007184863

Date Mailed: 12/13/2001

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

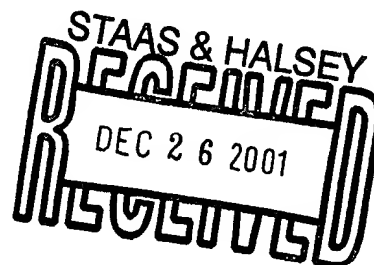
12/03/2001
 DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and
 (c)(4) REQUIREMENTS

12/03/2001
 DATE OF RECEIPT OF ALL 35 U.S.C.
 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination



The following defects have been observed:

- Additional claim fees of \$370 as a large entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) for this application is \$370 for a large entity.

- Total additional claim fee(s) for this application is **\$370**
 - **\$90** for total claims over 20.
 - **\$280** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

CHARITTA A BURT
Telephone: (703) 305-3734

PART 1 - ATTORNEY/APPLICANT COPY